Transport Layer: TCP and UDP

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> CS 203 **3-1**



Transport Layer Design Issues:

□ Multiplexing/Demultiplexing

□ Flow control

□ Error control

UDP

TCP

□ Header format, connection management, checksum

□ Slow Start Congestion Control

□ Note: This class lecture is based on Chapter 3 of the textbook (Kurose and Ross) and the figures provided by the authors.



Transport Layer Design Issues

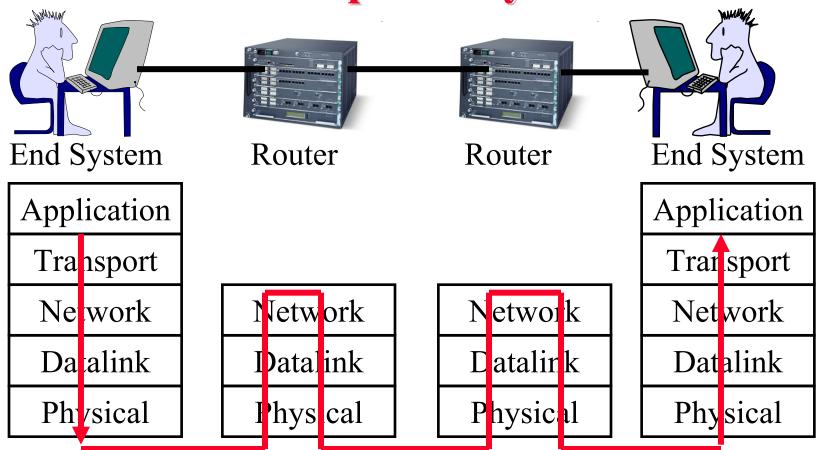
- 1. Transport Layer Functions
- 2. Multiplexing and Demultiplexing
- 3. Error Detection: Checksum
- 4. Flow Control
- 5. Efficiency Principle
- 6. Error Control: Retransmissions

Protocol Layers

Top-Down approach

Application	HTTP	FTP	SMTP	P2P	DNS	Skype						
Transport		ТСР										
Internetwork		IP										
Host to Network	Ethern	net I	Point-to-	Wi-Fi								
Physical	Coa	x	Fiber		Wireless							

Transport Layer



Transport = End-to-End Services Services required at source and destination systems Not required on intermediate hops

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Transport Layer Functions

- 1. **Multiplexing and demultiplexing**: among applications and processes at end systems
- 2. Error detection: Bit errors
- 3. Loss detection: Lost packets due to buffer overflow at intermediate systems (Sequence numbers and acks)
- 4. Error/loss recovery: Retransmissions
- 5. Flow control: Ensuring receiver has buffers
- 6. **Congestion Control**: Ensuring network has capacity Not all transports provide all functions

Flow control makes sure that the receiver has the capacity and congestion control makes sure that the network has the capacity

Multiplexing and Demultiplexing

□ Transport layer at the sender side receives data from different Applications, encapsulates every packet with a Transport Layer header and pass it on to the underlying Network Layer. This job of transport layer is known as **Multiplexing**.

At the receiver's side, the transport gathers the data, examines it socket and passes the data to the correct Application. This is known as **De-Multiplexing**.

Ex: Multiplexing and Demultiplexing

Suppose that there are two houses. One is in India and other is in America. In the house in India, lives a person James along with his 5 children. In the house in America, lives a person Steve along with his 4 children.

Now all 5 children of James write a letter to every children of Steve on every Sun-day. Therefore total number of letters will be 20. Thus, all the children writes the letter, put them in envelopes and hand over it to James. Then James write source house address and the destination house address on the envelope and give it to the postal service of India.

The postal service of India puts some other addresses corresponding to the country and delivers it to the America postal Service. The American Postal sees the destination address on the envelopes and will deliver those 20 letters to the Steve House. Steve collects the letter from the postman and after considering the name of his respective children on the envelopes, he gives the letter to each of them.

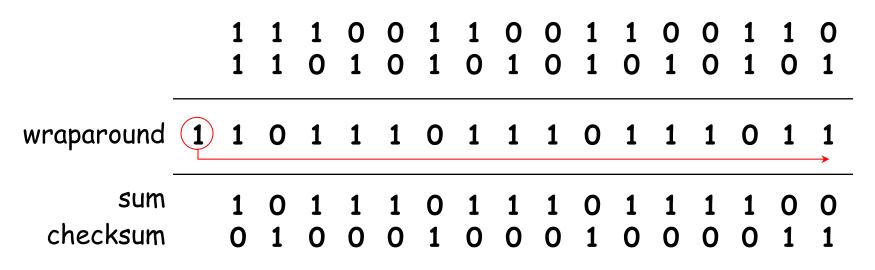
In this example we have processes and the layers. Processes = children Application Layer messages = envelopes Hosts = The two Houses Transport Layer Protocol = James and Steve Network Layer protocol = Postal Service

When James collects all the letters from his children, he multiplexes all and encapsulates them with the respective children name on the letter and house address and give it to the Indian postal service. On the receiving side, Steve collects all the letters from postal service of America and de-multiplexes them to see, which letter is for which child and delivers it respectively.

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Error Detection: Checksum

- Cyclic Redundancy Check (CRC): Powerful but generally requires hardware
- **Checksum**: Weak but easily done in software
 - □ Example: 1's complement of 1's complement sum of 16-bit words with overflow wrapped around



The 1s complement is obtained by converting all the 0s to 1s and converting all the 1s to 0s. Thus the 1s complement of the sum 101110111011100 is 010001000010, which becomes the checksum.

At the receiver, all three 16-bit words are added, including the checksum, and do wraparound if required. If no errors are introduced into the packet, then clearly the sum at the receiver will be 1111111111111111111. If one of the bits is a 0, then we know that errors have been introduced into the packet.

Flow Control

Window is the number

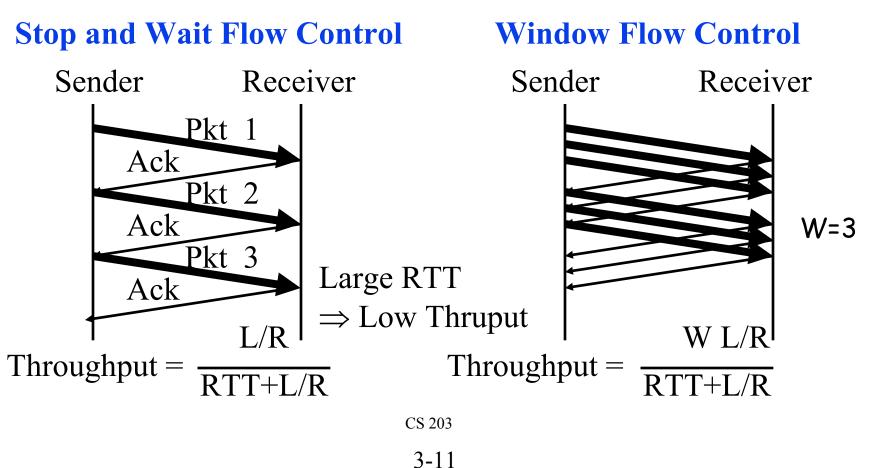
of packets that can be

outstanding without

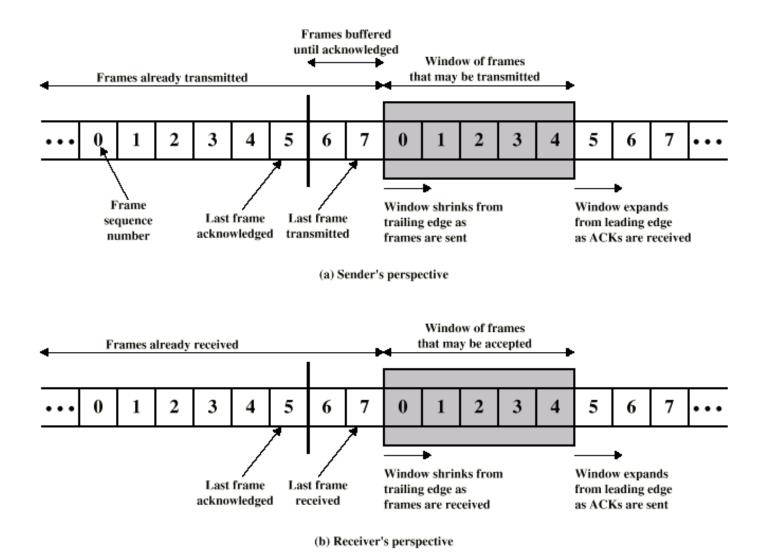
ACK

□ Flow Control Goals:

- 1. Sender does not flood the receiver,
- 2. Maximize throughput

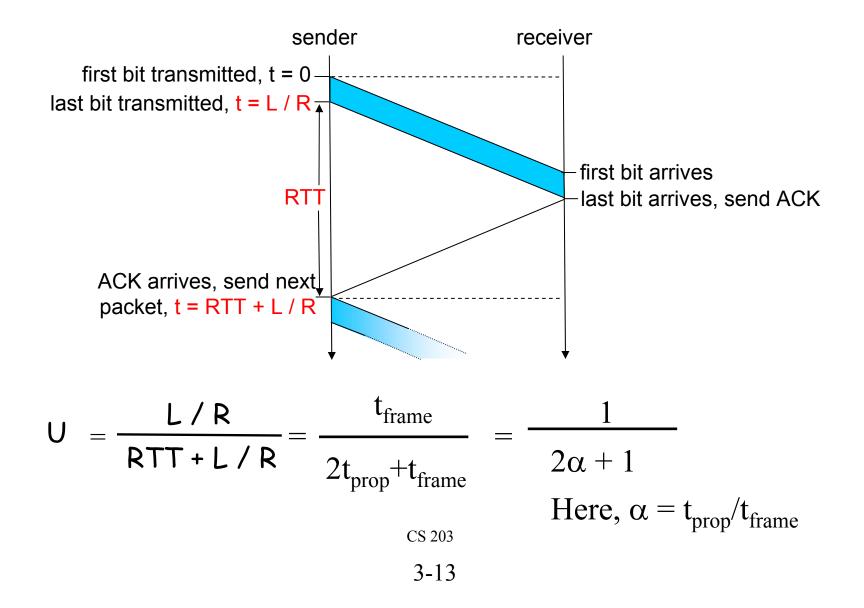


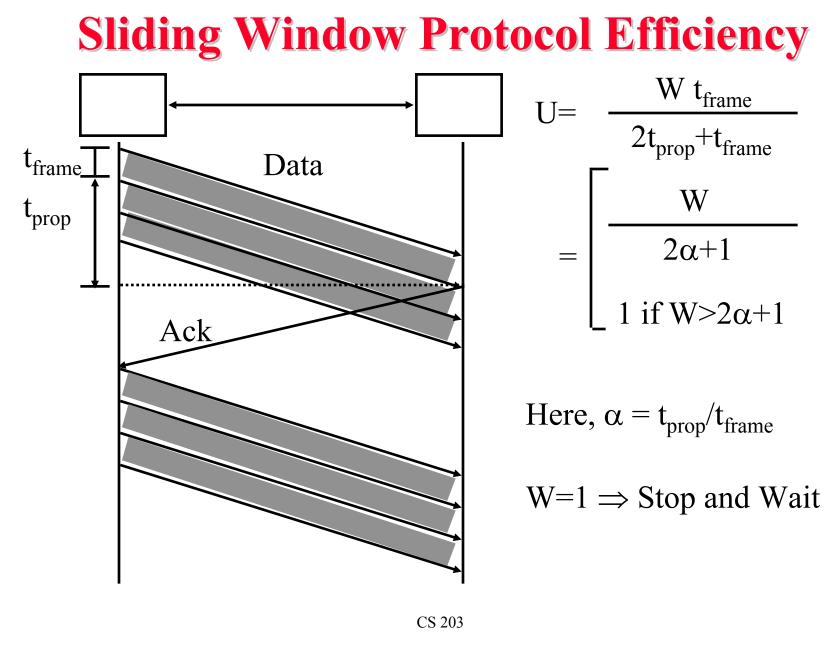
Sliding Window Diagram



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Stop and Wait Flow Control



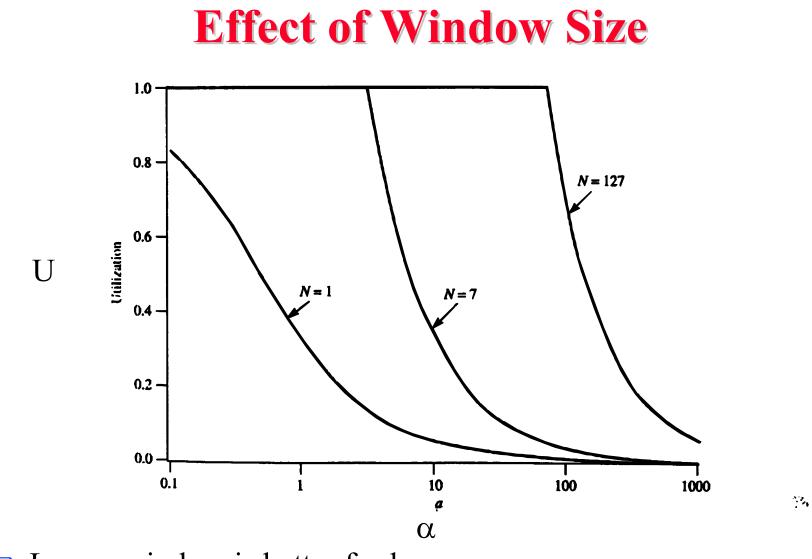


Utilization: Examples

Satellite Link: One-way Propagation Delay = 270 ms RTT=540 ms Frame Size L = 500 Bytes = 4 kb Data rate R = 56 kbps \Rightarrow t_{frame} = L/R= 4/56 = 71 ms $\alpha = t_{prop}/t_{frame} = 270/71 = 3.8$ U = 1/(2 α +1) = 0.12

□ Short Link: 1 km = 5 µs, Rate=10 Mbps, Frame=500 bytes ⇒ t_{frame} = 4k/10M= 400 µs α= t_{prop}/t_{frame} =5/400=0.012 ⇒ U=1/(2α+1)=0.98

Note: The textbook uses RTT in place of t_{prop} and L/R for t_{frame} CS 203

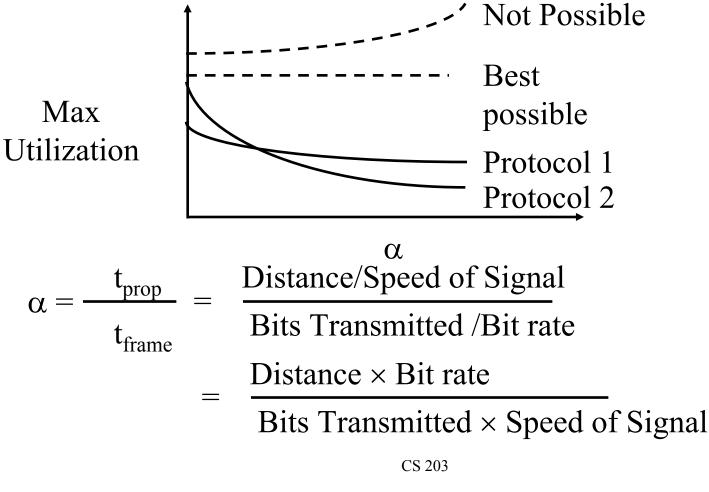


 $\hfill\square$ Larger window is better for larger α

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Efficiency Principle

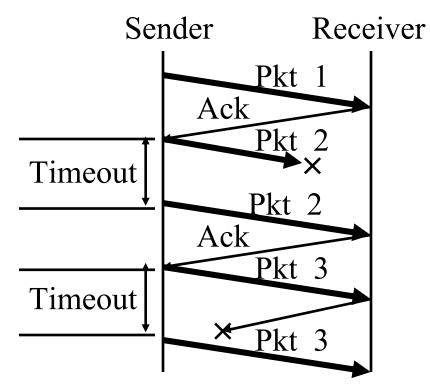
□ For all protocols, the maximum utilization (efficiency) is a *non-increasing* function of α .



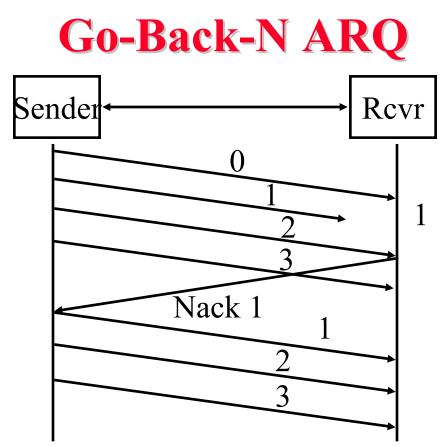
Error Control: Retransmissions

□ Retransmit lost packets \Rightarrow Automatic Repeat reQuest (ARQ)

Stop and Wait ARQ

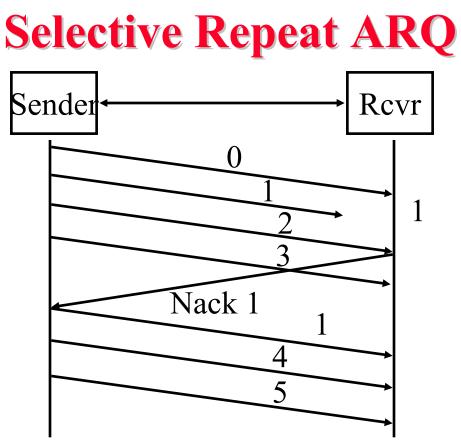


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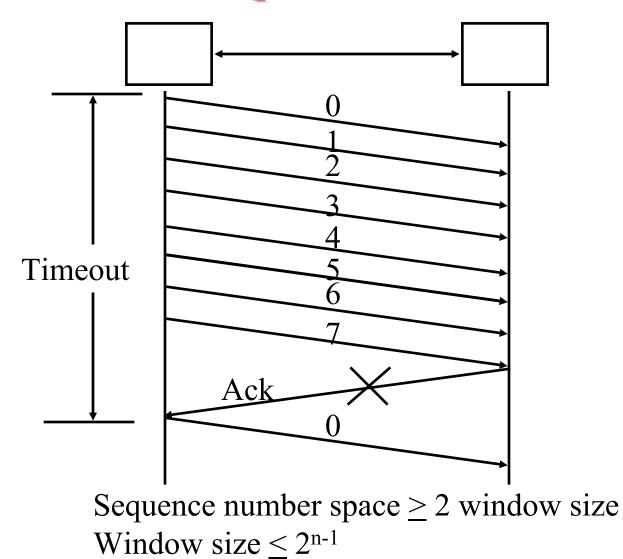
- □ Receiver does not cache out-of-order frames
- Sender has to go back and retransmit all frames after the lost frame

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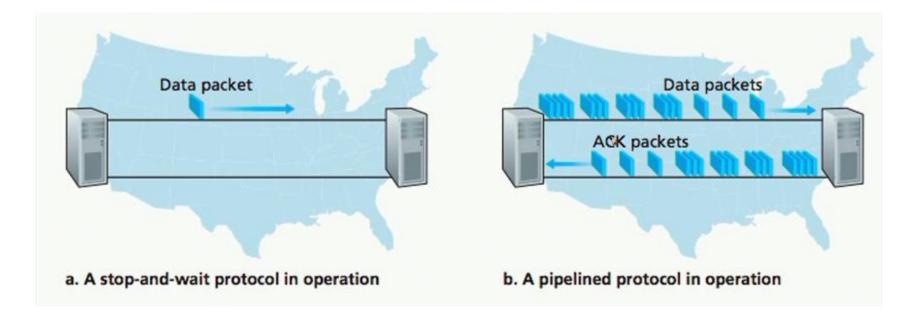
- Receiver caches out-of-order frames
- □ Sender retransmits only the lost frame
- □ Also known as selective *reject* ARQ

Selective Repeat: Window Size



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Stop-and-wait vs Sliding windows



Sliding windows protocol

- Each outbound segment contains a sequence number from 0 to some maximum (2ⁿ-1 for a n bit sequence number)
- The sender maintains a set of sequence numbers corresponding to frames it is permitted to send (sending window)
- The receiver maintains a set of frames it is permitted to accept (receiving window)

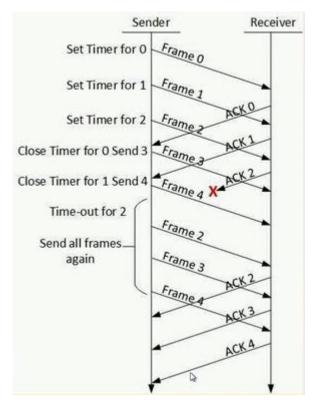
Sliding windows protocol: Sender and receiver windows

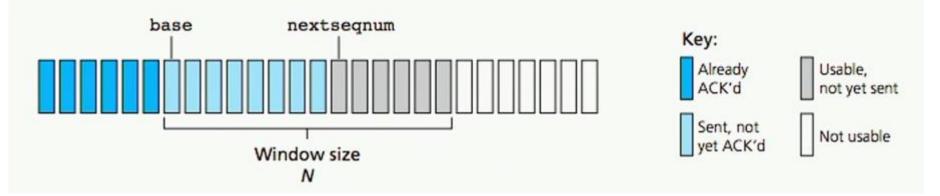
0	1	2	3	4	5	6	7	0	1	2	3	4	5	6	7	frame 0					_	
0	1	2	3	4	5	6	7	0	1	2	3	4	5	6	7	frame 1		0				
0	1	2	3	4	5	6	7	0	1	2	3	4	5	6	7	frame 2	ACK 0	0	1			
0	1	2	3	4	5	6	7	0	1	2	3	4	5	6	7	frame 3	ACK 1	0	1	2		
0	1	2	3	4	5	6	7	0	1	2	3	4	5	6	7	frame 4		0	1	2	3	
0	1	2	3	4	5	6	7	0	1	2	3	4	5	6	7			- 0	1	2	3	4

Sliding windows protocol in noisy channel

- A timeout occurs if a segment (or the acknowledgment) gets lost
- How does the flow and error control protocol handle a timeout?
- Go Back N ARQ: If segment N is lost, all the segments from segment 0 (start of the sliding window) to segment N are retransmitted
- Selective Repeat (SR) ARQ: Only the lost packets are selectively retransmitted
 - Negative Acknowledgement (NAK) or Selective Acknowledgements (SACK): Informs the sender about which packets need to be retransmitted (not received by the receiver)

Go Back N ARQ: Sender window control

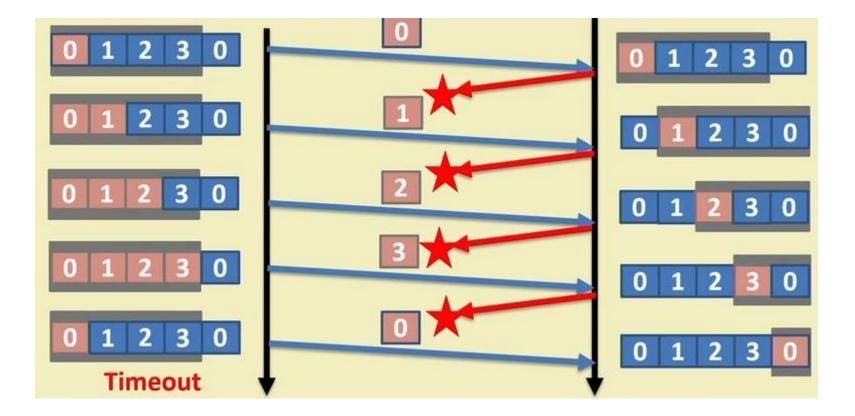




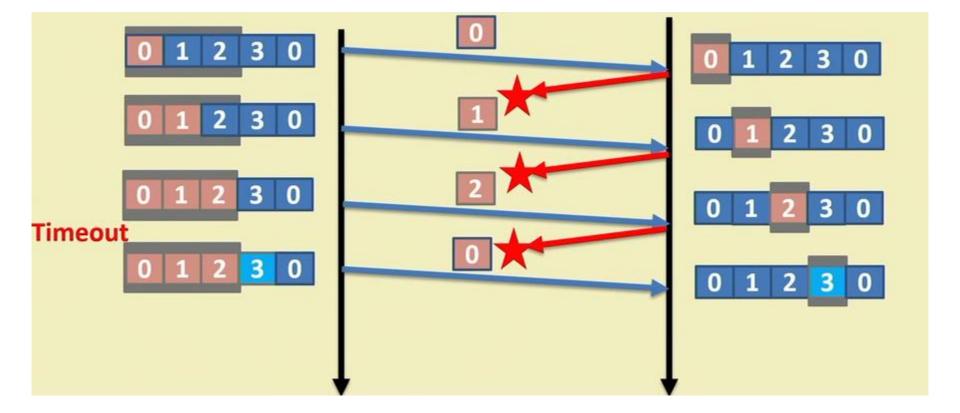
Go back N ARQ: A bound on window size

- Outstanding Frames Frames that have been transmitted, but not yet acknowledged
- Maximum Sequence Number (MAX_SEQ): MAX_SEQ+1 distinct sequence numbers are there
 - 0,1,...,MAX_SEQ
- Maximum Number of Outstanding Frames (=Window Size): MAX_SEQ
- Example: Sequence Numbers (0,1,2,...,7) 3 bit sequence numbers, number of outstanding frames = 7 (Not 8)

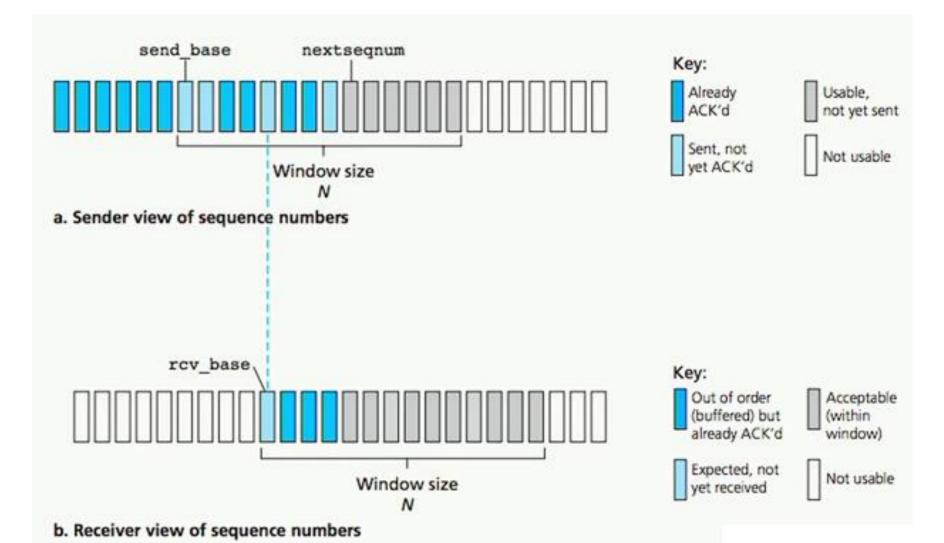
Go Back N ARQ: MAX_SEQ = 3, Window size= 4



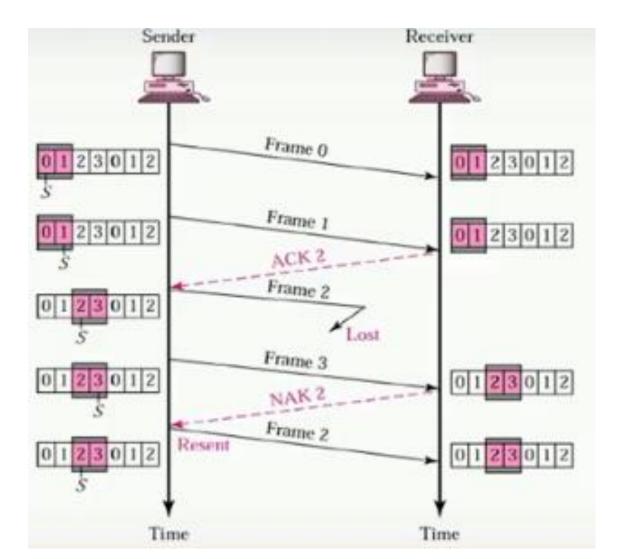
Go Back N ARQ: MAX_SEQ = 3, Window size = 3



Selective Repeat (SR): Window control



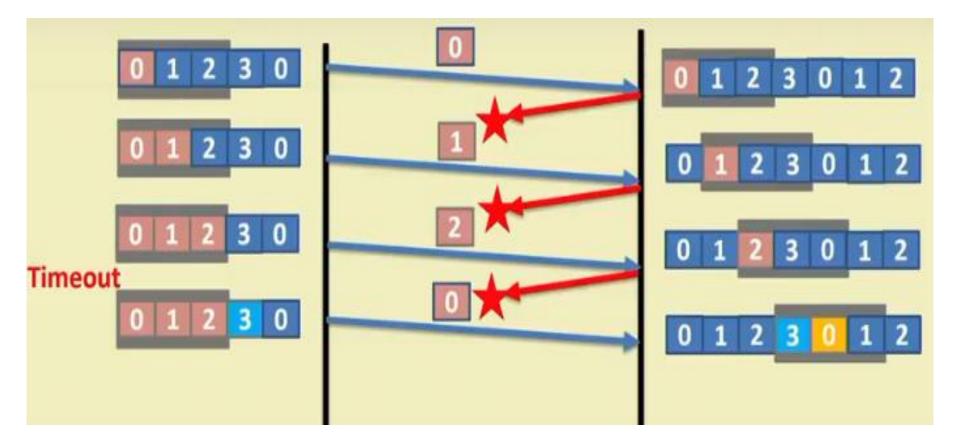
Selective Repeat ARQ



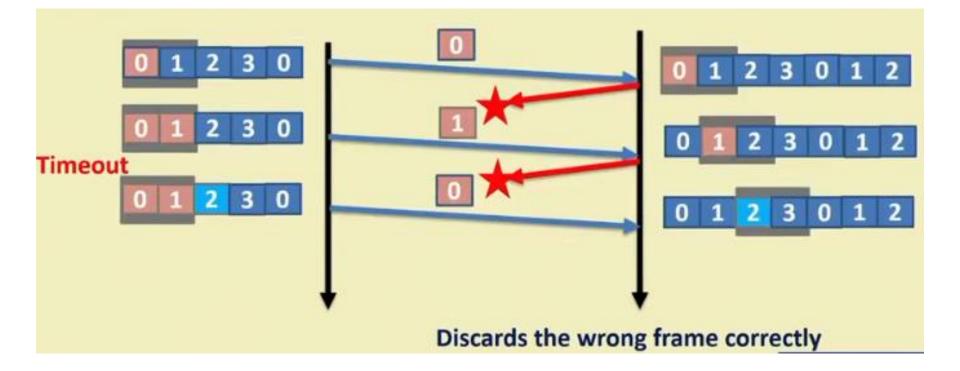
Selective Repeat ARQ: A bound on window size

- Maximum Sequence Number (MAX_SEQ): MAX_SEQ+1 distinct sequence numbers are there
 - 0,1,...,MAX_SEQ
- Maximum Number of Outstanding Frames (=Window Size): (MAX_SEQ+1)/2
- Example: Sequence Numbers (0,1,2,...,7) 3 bit sequence numbers, number of outstanding frames (window size) = 4

SR ARQ: MAX_SEQ = 3, Window size = 3



SR ARQ: MAX_SEQ = 3, Window size = 2



$$\frac{1}{2} \frac{1}{10} \frac{$$

Quis: Let the window bite is 3. 10 Packets are going to suft in a errorieous channel our every 5th Packet is nost diminf transmission. Total how many transmission is required for select-Repeat Aky and GIO-back-N. ARD ? GLO-back. N ARO:-5.6789 78910 7 2 5 in which he because total transmission = 18 Selective light BRIS! 10 12345567 tofal travenission = 12

Performance: Maximum Utilization

Stop and Wait Flow Control: U = 1/(1+2α)
 Window Flow Control:

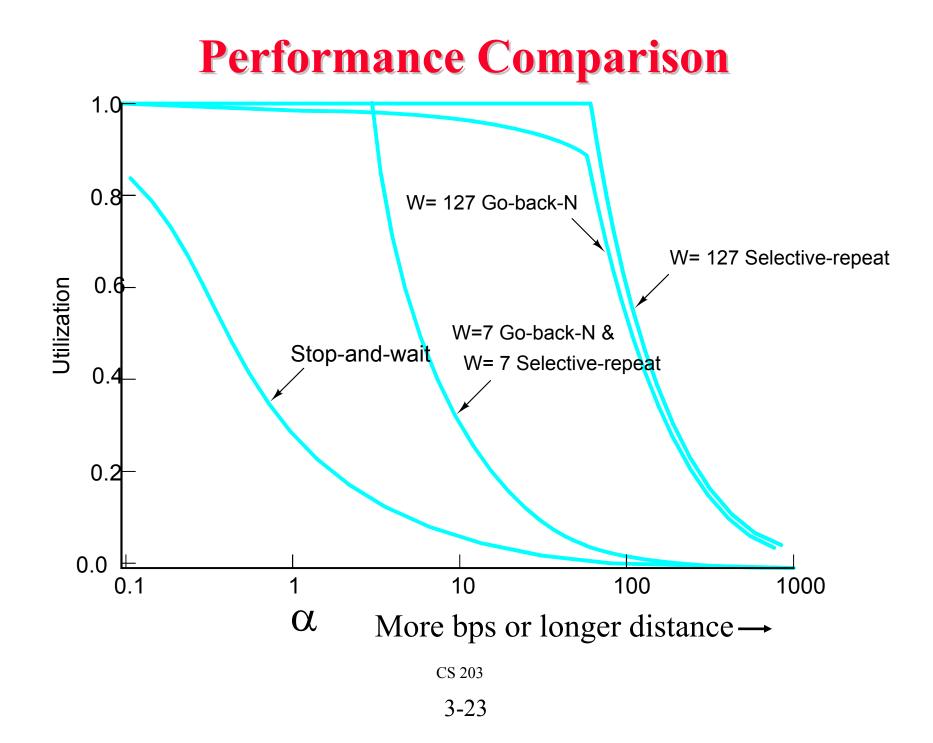
$$U = \begin{cases} 1 & W \ge 2\alpha + 1 \\ W/(2\alpha + 1) & W < 2\alpha + 1 \end{cases}$$

Stop and Wait ARQ: U = (1-P)/(1+2α)
 Go-back-N ARQ: P = Probability of Loss

$$U = \begin{cases} (1-P)/(1+2\alpha P) & W \ge 2\alpha+1 \\ W(1-P)/[(2\alpha+1)(1-P+WP)] & W < 2\alpha+1 \end{cases}$$

□ Selective Repeat ARQ:

$$U = \begin{cases} (1-P) & W \ge 2\alpha + 1 \\ W(1-P)/(2\alpha + 1) & W < 2\alpha + 1 \end{cases}$$





Transport Layer Design Issues

- 1. Multiplexing/demultiplexing by a combination of source and destination IP addresses and port numbers.
- 2. Window flow control is better for long-distance or high-speed networks
- 3. Longer distance or higher speed \Rightarrow Larger $\alpha \Rightarrow$ Larger window is better
- 4. Stop and and wait flow control is ok for short distance or low-speed networks
- 5. Selective repeat is better stop and wait ARQ Only slightly better than go-back-N

Homework 3A

Problem 19 on page 302 of the textbook:

- Consider the GBN protocol with a sender window size of 3 and a sequence number range of 1,024. Suppose that at time t, the next in-order packet that the receiver is expecting has a sequence number of k. Assume that the medium does not reorder messages. Answer the following questions:
- A. What are the possible sets of sequence numbers insdie the sender's window at time t? Justify your answer.
- B. What are all possible values of the ACK field in all possible messages currently propagating back to the sender at time t? Justify your answer.

Window Flow Control:

C. How big window (in number of packets) is required for the channel utilization to be greater than 60% on a cross-country link of 4000 km running at 20 Mbps using 1 kByte packets?

Efficiency Principle:

D. Ethernet V1 access protocol was designed to run at 10 Mbps over 2.5 Km using 1500 byte packets. This same protocol needs to be used at 100 Mbps at the same efficiency. What distance can it cover if the frame size is not changed?



UDP and TCP

- 1. User Datagram Protocol (UDP)
- 2. TCP Header Format, Options, Checksum
- 3. TCP Connection Management
- 4. Round Trip Time Estimation
- 5. Principles of Congestion Control
- 6. Slow Start Congestion Control

Transports

ТСР	UDP
Reliable data transfer	Unreliable Data Transfer
Packet Sequence # required	Sequence # optional
Every packet is acked	Not Acked
Lost packets are retransmitted	No Retransmission
May cause long delay	Quick and Lossy
Connection-oriented service	Connection-less Service
Good for Reliable and delay-	Good for loss-tolerant and
insenstive applications	delay sensitive applications
Applications: email, http, ftp,	Telephony, Streaming
Remote terminal access	Multimedia

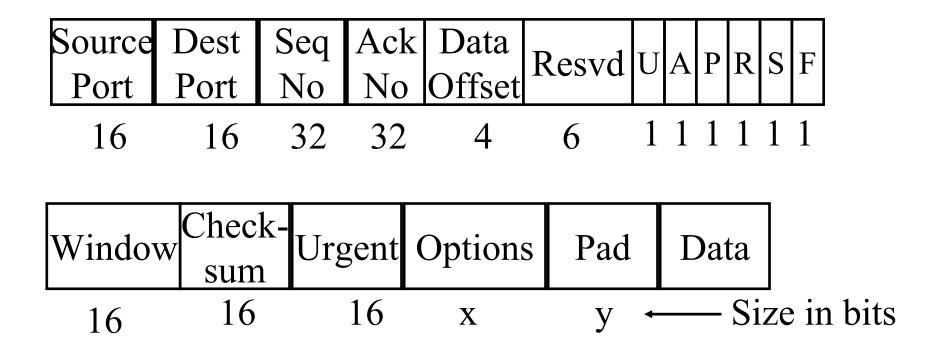
User Datagram Protocol (UDP)

- Connectionless end-to-end service
- □ No flow control. No error recovery (no acks)
- Provides multiplexing via ports
- Error detection (Checksum) optional. Applies to pseudo-header (same as TCP) and UDP segment. If not used, it is set to zero.
- Used by network management, DNS, Streamed multimedia (Applications that are loss tolerant, delay sensitive, or have their own reliability mechanisms)

Source
PortDest
PortLengthCheck-
sum16161616Size in bits
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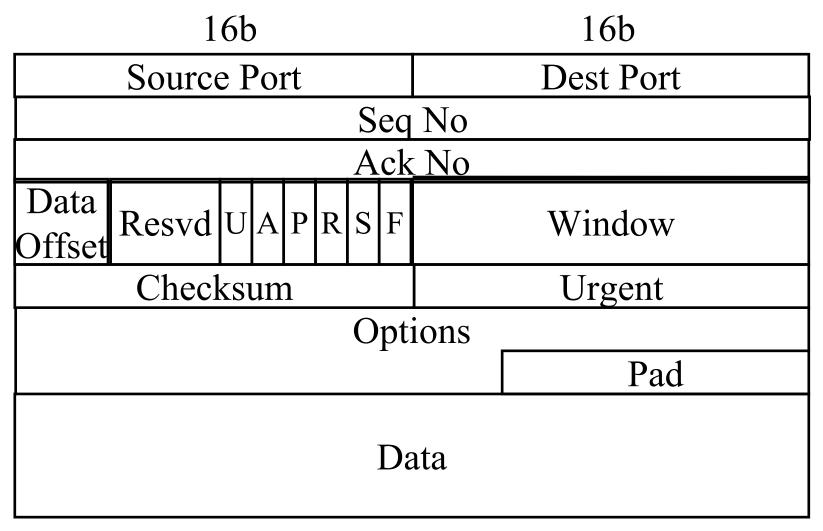
TCP Segment Format



TCP

- Transmission Control Protocol
- □ Key Services:
 - □ **Send**: Please send when convenient
 - Data stream push: Please send it all now, if possible.
 - Urgent data signaling: Destination TCP! please give this urgent data to the user (Urgent data is delivered in sequence. Push at the source should be explicit if needed.)
 - Note: Push has no effect on delivery. Urgent requests quick delivery

TCP Segment Format (Cont)



TCP Header Fields

- □ Source Port (16 bits): Identifies source user process
- **Destination Port** (16 bits)

21 = FTP, 23 = Telnet, 53 = DNS, 80 = HTTP, ...

- Sequence Number (32 bits): Sequence number of the first byte in the segment. If SYN is present, this is the initial sequence number (ISN) and the first data byte is ISN+1.
- □ Ack number (32 bits): Next byte expected
- Data offset (4 bits): Number of 32-bit words in the header
- **Reserved** (6 bits)

TCP Header (Cont)

 Control (6 bits):Urgent pointer field significant, Ack field significant, Push function, Reset the connection, Synchronize the sequence numbers, No more data from sender

URG ACK PS	H RST	SYN	FIN
------------	-------	-----	-----

Window (16 bits): Advertise the window size

TCP Header (Cont)

- Checksum (16 bits): covers the segment plus a pseudo header. Includes the following fields from IP header: source and dest adr, protocol, segment length. Protects from IP misdelivery.
- Urgent pointer (16 bits): Points to the byte following urgent data. Lets receiver know how much data it should deliver right away.
- **Options** (variable):

Max segment size (does not include TCP header, default 536 bytes), Window scale factor, Selective Ack permitted, Timestamp, No-Op, End-of-options

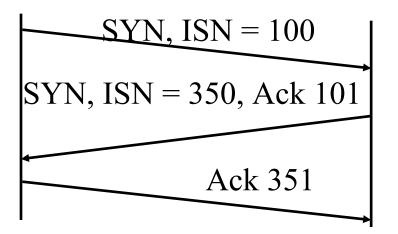
TCP Options

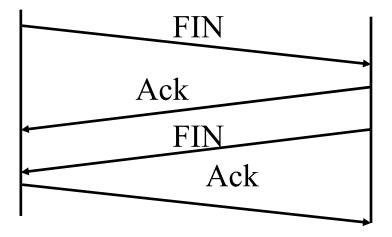
Kind	Length	Meaning
0	1	End of Valid options in header
1	1	No-op
2	4	Maximum Segment Size
3	3	Window Scale Factor
8	10	Timestamp

- **End of Options**: Stop looking for further option
- No-op: Ignore this byte. Used to align the next option on a 4byte word boundary
- □ Max Segment Size (MSS): Does <u>not</u> include TCP header

TCP Connection Management

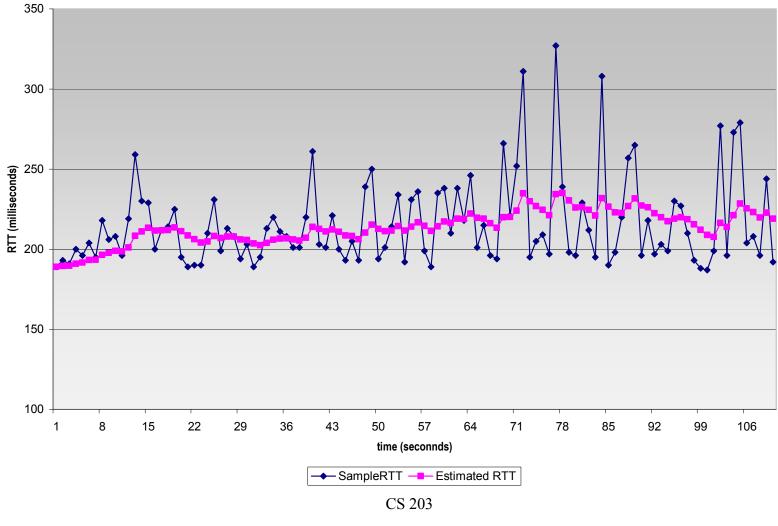
 □ Connection Establishment
 □ Three way handshake
 □ SYN flag set ⇒ Request for connection
 □ Connection Termination
 □ Close with FIN flag set
 □ Abort





Example RTT estimation:

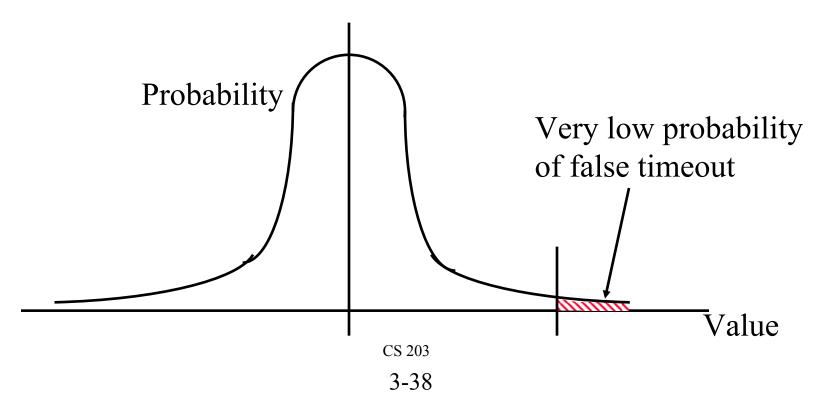
RTT: gaia.cs.umass.edu to fantasia.eurecom.fr



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Round Trip Time Estimation

- □ Measured round trip time (SampleRTT) is very random.
- □ EstimatedRTT=(1- α)EstimatedRTT+ α SampleRTT
- □ DevRTT = $(1-\beta)$ DevRTT+ β |SampleRTT-EstmatedRTT|
- TimeoutInterval=EstimatedRTT+4 DevRTT



Congestion control in a network

Consider a centralized network scenario – how can you maintain optimal flow rates?
 50
 50
 20
 4

Congestion control in a network

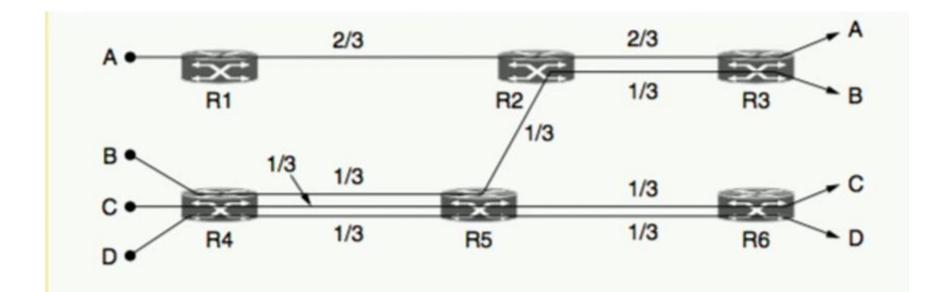
- Flows enter and exit network dynamically so applying an algorithm for congestion control is difficult
- Congestion avoidance: Regulate the sending rate based on what the network can support

Sending Rate = minimum (network rate, Receiver rate)

Congestion control and fairness

- Ensure that the rate of all the flows in the network is controlled in a fair way
- A bad congestion control algorithm may affect fairness Some flows can get starved
- Hard fairness in a decentralized network is difficult to implement
- Max-Min Fairness: An allocation is max-min fair if the bandwidth given to one flow cannot be increased without decreasing the bandwidth given to another flow with an allocation.

Max-Min Fairness – An example

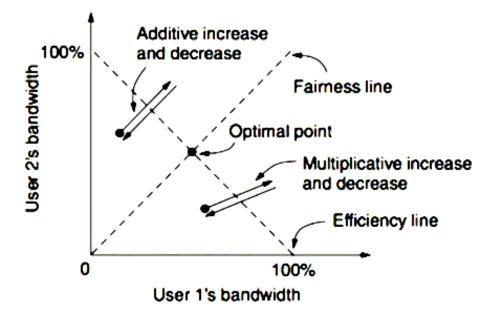


AIMD: Additive Increase Multiplicative Decrease

- Additive Increase Multiplicative Decrease (AIMD) Chiu and Jain (1989)
- Let w(t) be the sending rate. a (a > 0) is the additive increase factor, and b (0<b<1) is the multiplicative decrease factor

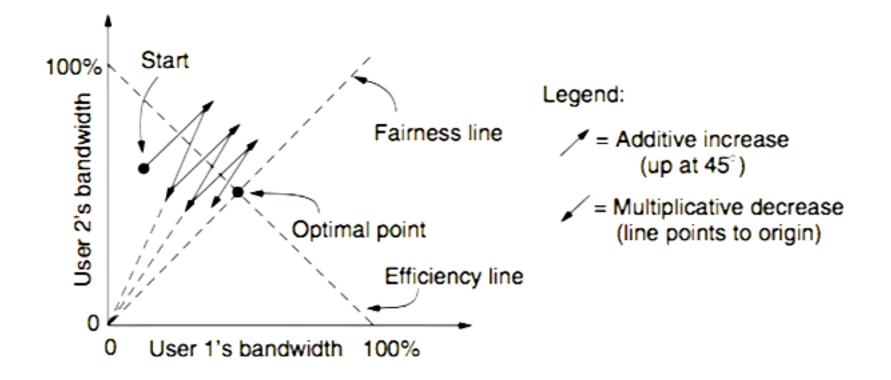
 $w(t+1) = egin{cases} w(t)+a & ext{if congestion is not detected} \ w(t) imes b & ext{if congestion is detected} \end{cases}$

AIMD: Two flows example



- AIAD Oscillate across the efficiency line
- MIMD Oscillate across the efficiency line (different slope from AIAD)

AIMD: Two flows example



- The path converges towards the optimal point
- Used by TCP Adjust the size of the sliding window

Slow Start Congestion Control

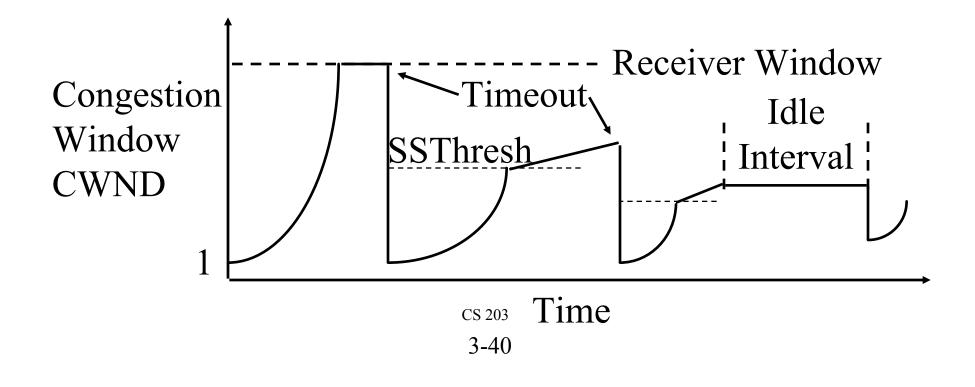
- □ Window = Flow Control Avoids receiver overrun
- □ Need congestion control to avoid network overrun
- The sender maintains two windows:
 Credits from the receiver
 Congestion window from the network
 Congestion window is always less than the receiver window
- Starts with a congestion window (CWND) of 1 segment (one max segment size)

 \Rightarrow Do not disturb existing connections too much.

- □ Increase CWND by 1 MSS every time an ack is received
- □ Assume CWND is in bytes

Slow Start (Cont)

 If segments lost, remember slow start threshold (SSThresh) to CWND/2
 Set CWND to 1 MSS
 Increment by 1MSS per ack until SSthresh
 Increment by 1 MSS*MSS/CWND per ack afterwards



Slow Start (Cont)

- □ At the beginning, SSThresh = Receiver window
- □ After a long idle period (exceeding one round-trip time), reset the congestion window to one.
- Exponential growth phase is also known as "Slow start" phase
- The linear growth phase is known as "congestion avoidance phase"

Fast Recovery

- Optional implemented in TCP Reno (Earlier version was TCP Tahoe)
- Duplicate Ack indicates a lost/out-of-order segment
- □ On receiving 3 duplicate acks (4th ack for the same segment):
 - □ Enter Fast Recovery mode
 - Retransmit missing segment
 - □ Set SSTHRESH=CWND/2
 - Set CWND=SSTHRESH+3 MSS
 - Every subsequent duplicate ack: CWND=CWND+1MSS
 - □ When a new ack (not a duplicate ack) is received
 - Exit fast recovery
 - Set CWND=SSTHRESH

■ Average Throughput = $\frac{1.22 \text{ MSS}}{\text{RTT }\sqrt{P}}$

□ Here, P = Probability of Packet loss

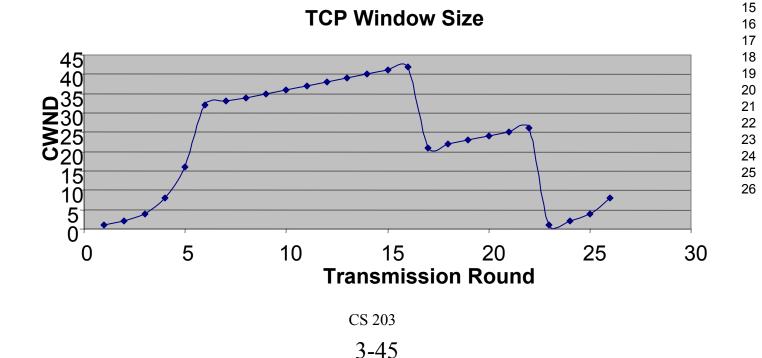


- 1. UDP provides flow multiplexing and optional checksum
- 2. Both UDP and TCP use port numbers for multiplexing
- 3. TCP provides reliable full-duplex connections.
- 4. TCP is stream based and has credit flow control
- 5. Slow-start congestion control works on timeout

Homework 3B

Round CWND

Problem P37 on page 306 of the textbook:
 Consider Figure 3.58. Assuming TCP Reno is the protocol experiencing the behavior shown above, answer the following questions. In all cases, you should provide a short discussion justifying your answer.



Homework 3B (Cont)

- □ A. Identify the interval of time when TCP slow start is operating.
- B. Identify the intervals of time when TCP congestion avoidance is operating.
- □ C. After the 16th transmission round, is segment loss detected by a triple duplicate ACK or by a timeout?
- D. After the 22nd transmission round, is segment loss detected by a triple duplicate ACK or by a timeout?
- E. What is the initial value of ssthresh at the first transmission round?
- □ F .What is the value of ssthresh at the 18th transmission round?
- □ G. What is the value of ssthresh at the 24th transmission round?

Homework 3B (Cont)

- □ H. During what transmission round is the 70th segment sent?
- I. Assuming a packet loss is detected after the 26th round by the receipt of a triple duplicate ACK, what will be the values of the congestion window size and of ssthresh?
- J. Suppose TCP Tahoe is used (instead of TCP Reno), and assume that triple duplicate ACKs are received at the 16th round. What are the ssthresh and the congestion window size at the 19th round?
- K. Again suppose TCP Tahoe is used, and there is a timeout event at the end of 22nd round. How many packets have been sent out from 17th round till 22nd round, inclusive?



- 1. Multiplexing/demultiplexing by a combination of source and destination IP addresses and port numbers.
- 2. Longer distance or higher speed \Rightarrow Larger $\alpha \Rightarrow$ Larger window is better
- 3. Window flow control is better for long-distance or high-speed networks
- 4. UDP is connectionless and simple. No flow/error control. Has error detection.
- 5. TCP provides full-duplex connections with flow/error/congestion control.