# **Transport Layer: Transport Layer: TCP and UDP TCP and UDP**

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**The Transport Layer Design Issues:** 

Multiplexing/Demultiplexing

Flow control

Error control

□ UDP

n TCP

Header format, connection management, checksum

Slow Start Congestion Control

■ **Note**: This class lecture is based on Chapter 3 of the textbook (Kurose and Ross) and the figures provided by the authors.



### **Transport Layer Design Issues Transport Layer Design Issues**

- 1. Transport Layer Functions
- 2. Multiplexing and Demultiplexing
- 3. Error Detection: Checksum
- 4. Flow Control
- 5. Efficiency Principle
- 6. Error Control: Retransmissions

### **Protocol Layers Protocol Layers**

#### **O** Top-Down approach



### **Transport Layer Transport Layer**



 $\Box$  $Transport = End-to-End$  Services Services required at source and destination systems Not required on intermediate hops

## **Transport Layer Functions Transport Layer Functions**

- **1. Multiplexing and demultiplexing**: among applications and processes at end systems
- **2.Error detection:** Bit errors
- **3. Loss detection**: Lost packets due to buffer overflow at intermediate systems (Sequence numbers and acks)
- **4. Error/loss recovery**: Retransmissions
- **5. Flow control**: Ensuring receiver has buffers
- **6. Congestion Control**: Ensuring network has capacity Not all transports provide all functions

Flow control makes sure that the receiver has the capacity and congestion control makes sure that the network has the capacity

### **Multiplexing and Demultiplexing**

 $\Box$  Transport layer at the sender side receives data from different Applications, encapsulates every packet with a Transport Layer header and pass it on to the underlying Network Layer. This job of transport layer is known as **Multiplexing**.

■ At the receiver's side, the transport gathers the data, examines it socket and passes the data to the correct Application. This is known as **De-Multiplexing**.

### **Ex: Multiplexing and Demultiplexing**

Suppose that there are two houses. One is in India and other is in America. In the house in India, lives a person James along with his 5 children. In the house in America, lives a person Steve along with his 4 children.

Now all 5 children of James write a letter to every children of Steve on every Sun-day. Therefore total number of letters will be 20. Thus, all the children writes the letter, put them in envelopes and hand over it to James. Then James write source house address and the destination house address on the envelope and give it to the postal service of India.

The postal service of India puts some other addresses corresponding to the country and delivers it to the America postal Service. The American Postal sees the destination address on the envelopes and will deliver those 20 letters to the Steve House. Steve collects the letter from the postman and after considering the name of his respective children on the envelopes, he gives the letter to each of them.

In this example we have processes and the layers. Processes = childrenApplication Layer messages = envelopes Hosts = The two HousesTransport Layer Protocol = James and Steve Network Layer protocol = Postal Service

 $\Box$  When James collects all the letters from his children, he multiplexes all and encapsulates them with the respective children name on the letter and house address and give it to the Indian postal service. On the receiving side, Steve collects all the letters from postal service of America and de-multiplexes them to see, which letter is for which child and delivers it respectively.

### **Error Detection: Checksum Error Detection: Checksum**

- **Cyclic Redundancy Check (CRC)**: Powerful but generally requires hardware
- **Checksum**: Weak but easily done in software
	- **Example**: *1's complement* of 1's complement sum of 16-bit words with overflow wrapped around



The 1s complement is obtained by converting all the 0s to 1s and converting all the 1s to 0s. Thus the 1s complement of the sum 1011101110111100 is 0100010001000011, <sup>w</sup>hich becomes the checksum.

At the receiver, all three 16-bit words are added, including the checksum, and do wraparound if required. If no errors are introduced into the packet, then clearly the sum at the receiver will be 1111111111111111. If one of th<sup>e</sup> bits is a 0, then we know that errors have been introduced into the packet.

### **Flow Control Flow Control**

Window is the number

of packets that can be

outstanding without

ACK.

**Example 3 Flow Control Goals:** 

- 1. Sender does not flood the receiver,
- 2. Maximize throughput



### **Sliding Window Diagram Sliding Window Diagram**



(b) Receiver's perspective

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#### **Stop and Wait Flow Control Stop and Wait Flow Control**





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### **Utilization: Examples Utilization: Examples**

Satellite Link: One-way Propagation Delay = 270 ms  $RTT = 540$  ms Frame Size  $L = 500$  Bytes  $= 4$  kb Data rate R = 56 kbps  $\Rightarrow$  t<sub>frame</sub> = L/R= 4/56 = 71 ms  $\alpha = t_{\text{prop}}/t_{\text{frame}} = 270/71 = 3.8$  $U = 1/(2\alpha+1) = 0.12$ 

 $\Box$  Short Link: 1 km = 5 µs, Rate=10 Mbps, Frame=500 bytes  $\Rightarrow$  t<sub>frame</sub>= 4k/10M= 400 µs  $\alpha = t_{\text{pron}}/t_{\text{frame}} = 5/400 = 0.012 \implies U = 1/(2\alpha + 1) = 0.98$ 

**Note:** The textbook uses RTT in place of  $t_{prop}$  and  $L/R$  for  $t_{frame}$  $\epsilon$  CS 203



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### **Efficiency Principle Efficiency Principle**

**T** For all protocols, the maximum utilization (efficiency) is a *non-increasing* function of  $\alpha$ .

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### **Error Control: Retransmissions Error Control: Retransmissions**

Retransmit lost packets **A**utomatic **R**epeat re**Q**uest (ARQ)

**Stop and Wait ARQ**



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- **Receiver does not cache out-of-order frames**
- **□** Sender has to *go back* and retransmit all frames after the lost frame



- **Receiver caches out-of-order frames**
- **□** Sender retransmits only the lost frame
- Also known as selective *reject* ARQ

### **Selective Repeat: Window Size Selective Repeat: Window Size**



Window size  $\leq 2^{n-1}$ 

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# **Stop-and-wait vs Sliding** windows



# **Sliding windows protocol**

- Each outbound segment contains a sequence number  $-$  from 0 to some maximum  $(2<sup>n</sup>-1$  for a n bit sequence number)
- The sender maintains a set of sequence numbers corresponding to frames it is permitted to send (sending window)
- The receiver maintains a set of frames it is permitted to accept (receiving window)

# **Sliding windows protocol: Sender and receiver windows**



# **Sliding windows protocol in** noisy channel

- A timeout occurs if a segment (or the acknowledgment) gets lost  $\bullet$
- How does the flow and error control protocol handle a timeout? ٠
- Go Back N ARQ: If segment N is lost, all the segments from segment 0 (start of ۰ the sliding window) to segment N are retransmitted
- **Selective Repeat (SR) ARQ:** Only the lost packets are selectively retransmitted ٠
	- Negative Acknowledgement (NAK) or Selective Acknowledgements (SACK): Informs the sender about which packets need to be retransmitted (not received by the receiver)

# **Go Back NARQ: Sender window control**





# **Go back N ARQ: A bound on** window size

- **Outstanding Frames –** Frames that have been transmitted, but not yet acknowledged
- Maximum Sequence Number (MAX\_SEQ): MAX\_SEQ+1 distinct sequence numbers are there
	- $-0,1,...,MAX$  SEQ
- Maximum Number of Outstanding Frames (=Window Size): MAX\_SEQ
- **Example:** Sequence Numbers  $(0,1,2,...,7) 3$  bit sequence numbers, number of outstanding frames =  $7$  (Not 8)

### Go Back N ARQ:  $MAX$ <sub>SEQ</sub> = 3, **Window size=4**



### Go Back N ARQ: MAX\_SEQ = 3, **Window size =**  $3$



# **Selective Repeat (SR): Window** control



b. Receiver view of sequence numbers

# **Selective Repeat ARQ**



# **Selective Repeat ARQ: A bound on window size**

- Maximum Sequence Number (MAX\_SEQ): MAX\_SEQ+1 distinct sequence numbers are there
	- $-0,1,...,MAX$  SEQ
- Maximum Number of Outstanding Frames (=Window Size): (MAX\_SEQ+1)/2
- **Example:** Sequence Numbers  $(0,1,2,...,7)$  3 bit sequence numbers, number of outstanding frames (window size) =  $4$

# **SR ARQ: MAX\_SEQ = 3, Window**  $size = 3$



# $SRARQ: MAX\_SEQ = 3,$ **Window size**  $= 2$



Sus: Let the window lorse is 3. 10 Packets are goingto suit in a errorisons channel and every the Packet is tost derive transmission. Total lion many transmission is regained for select-Repeat ARCS and GO-back-N-ARB? Gro-back-N ARQ:  $5.67897800$  $7$  $\frac{5}{2}$  $\mathbf{2}$ 3 1.10.0 it fins in  $+64a)$  transmission = 18 Selective ligaet ARCS!  $\overline{10}$  $12346$ <br> $7556$ total travanission = 12

### **Performance: Maximum Utilization Performance: Maximum Utilization**

 $\Box$  **Stop and Wait Flow Control:**  $U = 1/(1+2\alpha)$ **Window Flow Control**:

$$
U = \begin{cases} 1 & W \ge 2\alpha + 1 \\ W/(2\alpha + 1) & W \le 2\alpha + 1 \end{cases}
$$

 $\Box$  **Stop and Wait ARQ:**  $U = (1-P)/(1+2\alpha)$  **Go-back-N ARQ**:  $P =$ Probability of Loss

$$
U = \begin{cases} (1-P)/(1+2\alpha P) & W \ge 2\alpha+1 \\ W(1-P)/[(2\alpha+1)(1-P+WP)] & W < 2\alpha+1 \end{cases}
$$

**Selective Repeat ARQ**:

$$
U = \begin{cases} (1-P) & W \ge 2\alpha+1 \\ W(1-P)/(2\alpha+1) & W \le 2\alpha+1 \end{cases}
$$





### **Transport Layer Design Issues Transport Layer Design Issues**

- 1. Multiplexing/demultiplexing by a combination of source and destination IP addresses and port numbers.
- 2. Window flow control is better for long-distance or high-speed networks
- 3. Longer distance or higher speed  $\Rightarrow$  Larger  $\alpha \Rightarrow$  Larger window is better
- 4. Stop and and wait flow control is ok for short distance or lowspeed networks
- 5. Selective repeat is better stop and wait ARQ Only slightly better than go-back-N

### **Homework 3A Homework 3A**

#### **Problem 19 on page 302 of the textbook**:

- Consider the GBN protocol with a sender window size of 3 and a sequence number range of 1,024. Suppose that at time t, the next in-order packet that the receiver is expecting has a sequence number of k. Assume that the medium does not reorder messages. Answer the following questions:
- A. What are the possible sets of sequence numbers insdie the sender's window at time t? Justify your answer.
- B. What are all possible values of the ACK field in all possible messages currently propagating back to the sender at time t? Justify your answer.

#### **Window Flow Control:**

C. How big window (in number of packets) is required for the channel utilization to be greater than 60% on a cross-country link of 4000 km running at 20 Mbps using 1 kByte packets?

#### **Efficiency Principle:**

D. Ethernet V1 access protocol was designed to run at 10 Mbps over 2.5 Km using 1500 byte packets. This same protocol needs to be used at 100 Mbps at the same efficiency. What distance can it cover if the frame size is not changed?



### **UDP and TCP UDP and TCP**

- 1. User Datagram Protocol (UDP)
- 2. TCP Header Format, Options, Checksum
- 3. TCP Connection Management
- 4. Round Trip Time Estimation
- 5. Principles of Congestion Control
- 6. Slow Start Congestion Control

### **Transports Transports**



### **User Datagram Protocol (UDP) User Datagram Protocol (UDP)**

- **Q** Connectionless end-to-end service
- **□** No flow control. No error recovery (no acks)
- $\Box$  Provides multiplexing via ports
- **□** Error detection (Checksum) optional. Applies to pseudo-header (same as TCP) and UDP segment. If not used, it is set to zero.
- Used by network management, DNS, Streamed multimedia (Applications that are loss tolerant, delay sensitive, or have their own reliability mechanisms)

3-28SourcePort Dest Port Checksum Length 16 16 16 16 Size in bits CS 203

### **TCP Segment Format TCP Segment Format**



# **TCP**

- **The Transmission Control Protocol**
- **Key Services:** 
	- **Send**: Please send when convenient
	- **Data stream push**: Please send it all now, if possible.
	- **Urgent data signaling**: Destination TCP! please give this urgent data to the user (Urgent data is delivered in sequence. Push at the source should be explicit if needed.)
	- Note: Push has no effect on delivery. Urgent requests quick delivery

### **TCP Segment Format (Cont) TCP Segment Format (Cont)**



### **TCP Header Fields TCP Header Fields**

- **Source Port** (16 bits): Identifies source user process
- **Destination Port** (16 bits)

 $21 = FTP, 23 = Telnet, 53 = DNS, 80 = HTTP, ...$ 

- **Sequence Number** (32 bits): Sequence number of the first byte in the segment. If SYN is present, this is the initial sequence number (ISN) and the first data byte is ISN+1.
- **Ack number** (32 bits): Next byte expected
- **Data offset** (4 bits): Number of 32-bit words in the header
- **Reserved** (6 bits)

### **TCP Header (Cont) TCP Header (Cont)**

**□ Control** (6 bits): Urgent pointer field significant, Ack field significant, Push function, Reset the connection, Synchronize the sequence numbers, No more data from sender



■ **Window** (16 bits): Advertise the window size

### **TCP Header (Cont) TCP Header (Cont)**

- **□ Checksum** (16 bits): covers the segment plus a pseudo header. Includes the following fields from IP header: source and dest adr, protocol, segment length. Protects from IP misdelivery.
- **Urgent pointer** (16 bits): Points to the byte following urgent data. Lets receiver know how much data it should deliver right away.
- **Options** (variable):

Max segment size (does not include TCP header, default 536 bytes), Window scale factor, Selective Ack permitted, Timestamp, No-Op, End-of-options

# **TCP Options TCP Options**



- **End of Options:** Stop looking for further option
- **No-op**: Ignore this byte. Used to align the next option on a 4 byte word boundary
- Max Segment Size (MSS): Does not include TCP header

### **TCP Connection Management TCP Connection Management**

**Q** Connection Establishment Three way handshake SYN flag set  $\Rightarrow$  Request for connection **Q** Connection Termination Close with FIN flag set Abort





#### **Example RTT estimation: Example RTT estimation:**

**RTT: gaia.cs.umass.edu to fantasia.eurecom.fr**



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### **Round Trip Time Estimation Round Trip Time Estimation**

- Measured round trip time (SampleRTT) is very random.
- $\Box$ EstimatedRTT= $(1 - \alpha)$ EstimatedRTT+ $\alpha$  SampleRTT
- $\Box$  $DevRTT = (1-\beta)DevRTT + \beta$  |SampleRTT-EstmatedRTT
- $\Box$ TimeoutInterval=EstimatedRTT+**4** DevRTT



# **Congestion control in a** network

Consider a centralized network scenario – how can you maintain optimal  $\bullet$ 6 flow rates? 18 5 10 2 50 50 D S  $20$ 11 4

# **Congestion control in a** network

- Flows enter and exit network dynamically  $-$  so applying an algorithm for ٠ congestion control is difficult
- **Congestion avoidance:** Regulate the sending rate based on what the ۰ network can support

Sending Rate = minimum (network rate, Receiver rate)

# **Congestion control and** fairness

- Ensure that the rate of all the flows in the network is controlled in a fair way ۰
- A bad congestion control algorithm may affect fairness Some flows can get ۰ starved
- Hard fairness in a decentralized network is difficult to implement ۰
- **Max-Min Fairness:** An allocation is max-min fair if the bandwidth given to one ۰ flow cannot be increased without decreasing the bandwidth given to another flow with an allocation.

# **Max-Min Fairness - An** example



# **AIMD: Additive Increase Multiplicative Decrease**

- Additive Increase Multiplicative Decrease (AIMD) Chiu and Jain  $(1989)$
- Let  $w(t)$  be the sending rate.  $a (a > 0)$  is the additive increase factor, and b  $(0 < b < 1)$  is the multiplicative decrease factor

 $w(t+1) = \begin{cases} w(t) + a & \text{if congestion is not detected} \\ w(t) \times b & \text{if congestion is detected} \end{cases}$ 

# **AIMD: Two flows example**



- $A$  $IAD Oscillate across the$ ۰ efficiency line
- $MIMD Oscillate across the$ efficiency line (different slope from AIAD)

# **AIMD: Two flows example**



- The path converges towards the optimal point
- Used by TCP Adjust the size of the sliding window

### **Slow Start Congestion Control Slow Start Congestion Control**

- $\Box$ Window = Flow Control Avoids receiver overrun
- **□** Need congestion control to avoid network overrun
- **The sender maintains two windows:** Credits from the receiver Congestion window from the network Congestion window is always less than the receiver window
- **□** Starts with a congestion window (CWND) of 1 segment (one max segment size)

 $\Rightarrow$  Do not disturb existing connections too much.

■ Increase CWND by 1 MSS every time an ack is received

**Assume CWND** is in bytes

### **Slow Start (Cont) Slow Start (Cont)**

 $\Box$  If segments lost, remember slow start threshold (SSThresh) to CWND/2 Set CWND to 1 MSSIncrement by 1MSS per ack until SSthresh Increment by 1 MSS\*MSS/CWND per ack afterwards



### **Slow Start (Cont) Slow Start (Cont)**

- $\Box$  At the beginning, SSThresh = Receiver window
- **□** After a long idle period (exceeding one round-trip time), reset the congestion window to one.
- **□** Exponential growth phase is also known as "Slow start" phase
- **□** The linear growth phase is known as "congestion avoidance phase"

### **Fast Recovery Fast Recovery**

- □ Optional implemented in TCP Reno (Earlier version was TCP Tahoe)
- **□** Duplicate Ack indicates a lost/out-of-order segment
- $\Box$  On receiving 3 duplicate acks (4<sup>th</sup> ack for the same segment):
	- Enter Fast Recovery mode
		- Retransmit missing segment
		- Set SSTHRESH=CWND/2
		- Set CWND=SSTHRESH+3 MSS
		- $\equiv$  Every subsequent duplicate ack: CWND=CWND+1MSS
	- When a new ack (not a duplicate ack) is received
		- $\equiv$  Exit fast recovery
		- Set CWND=SSTHRESH

#### **TCP Average Throughput TCP Average Throughput**  $\Box$  Average Throughput = 1.22 MSS  $RTT \sqrt{P}$

 $\Box$  Here, P = Probability of Packet loss

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- 1. UDP provides flow multiplexing and optional checksum
- 2. Both UDP and TCP use port numbers for multiplexing
- 3. TCP provides reliable full-duplex connections.
- 4. TCP is stream based and has credit flow control
- 5. Slow-start congestion control works on timeout

### **Homework 3B Homework 3B**



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### **Homework 3B (Cont) Homework 3B (Cont)**

- **□** A. Identify the interval of time when TCP slow start is operating.
- **□** B. Identify the intervals of time when TCP congestion avoidance is operating.
- $\Box$  C. After the 16<sup>th</sup> transmission round, is segment loss detected by a triple duplicate ACK or by a timeout?
- $\Box$  D. After the 22<sup>nd</sup> transmission round, is segment loss detected by a triple duplicate ACK or by a timeout?
- $\Box$  E. What is the initial value of ssthresh at the first transmission round?
- $\Box$  F. What is the value of ssthresh at the 18<sup>th</sup> transmission round?
- G. What is the value of ssthresh at the  $24<sup>th</sup>$  transmission round?

### **Homework 3B (Cont) Homework 3B (Cont)**

- $\Box$  H. During what transmission round is the 70<sup>th</sup> segment sent?
- $\Box$  I. Assuming a packet loss is detected after the 26<sup>th</sup> round by the receipt of a triple duplicate ACK, what will be the values of the congestion window size and of ssthresh?
- **□** J. Suppose TCP Tahoe is used (instead of TCP Reno), and assume that triple duplicate ACKs are received at the 16<sup>th</sup> round. What are the ssthresh and the congestion window size at the 19th round?
- **□** K. Again suppose TCP Tahoe is used, and there is a timeout event at the end of 22<sup>nd</sup> round. How many packets have been sent out from 17<sup>th</sup> round till 22<sup>nd</sup> round, inclusive?



- 1. Multiplexing/demultiplexing by a combination of source and destination IP addresses and port numbers.
- 2. Longer distance or higher speed  $\Rightarrow$  Larger  $\alpha \Rightarrow$  Larger window is better
- 3. Window flow control is better for long-distance or high-speed networks
- 4. UDP is connectionless and simple. No flow/error control. Has error detection.
- 5. TCP provides full-duplex connections with flow/error/congestion control.